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First Named Inventor	: Shanlin Hao et al.	Group Art Unit: 2652 Examiner: C.S. Miller
Appln. No.	: 09/864,562	
Filed	: May 23, 2001	
Title	: HEAD PERFORMANCE BASED NANO-MACHINING PROCESS CONTROL FOR STRIPE FORMING OF ADVANCED SLIDER	
Docket No.	: I69.12-0485	

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313, THIS 6 DAY OF

October, 2004.  
David R. Felt  
PATENT ATTORNEY

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary [if application filing date is after June 30, 2003, copies of U.S. patents and application publications are not required].

#### TIME OF FILING

The Information Disclosure Statement is being filed:

1.      with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.
2. X after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:  
(check either A or B below)  
A.      a statement as specified in 37 C.F.R. 1.97(e), no fee is required.  
B. X the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

3. — after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:
- A. a statement as specified in 37 C.F.R. 1.97(e); and
  - B. the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

KINNEY & LANGE, P.A.

By: 

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DRF:ks



FORM PTO-1449	Atty. Docket No.: I69.12-0485	Application No.: 09/864,562
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Shanlin Hao	
	Filing Date: May 23, 2001	Group Art: 2652

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	US 6,760,197 B2	07-06-2004	Boutaghou, et al.
AB			
AC			
AD			
AE			
AF			
AG			
AH			
AI			
AJ			
AK			

## FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
AL				
AM				
AN				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

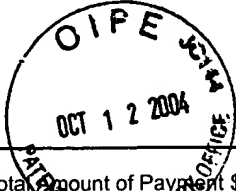
AO	
AP	
AO	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# FEE TRANSMITTAL



Total Amount of Payment \$ 180.00

## Complete if Known

Application No. 09/864,562  
 Filing Date May 23, 2001  
 First Named Inventor Shanlin Hao  
 Group Art Unit 2652  
 Examiner Name C.S. Miller  
 Atty. Docket Number I69.12-0485

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982.  
 Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1001	770	2001	385	[ ] Utility Filing Fee
1002	340	2002	170	[ ] Design Filing Fee
1004	770	2004	385	[ ] Reissue Filing Fee
1005	160	2005	80	[ ] Prov. Filing Fee
Subtotal (1) \$00.00				

### 2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	-	=	X	=	-
Indep.	-	=	X	=	-
Multiple Dependent Claims					
Insert 3 and 20, or number previously paid if greater; Reissue see below					
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description	
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent Claim	
1204	86	2204	43	Reissue Independent Claims Over Original Patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	
Subtotal (2) \$00.00					

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid
1051	130	2051	65	Surcharge - Late filing fee or oath	-
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	-
1053	130	1053	130	Non-English specification	-
1812	2,520	1812	2,520	For Filing a Request for Reexamination	-
1251	110	2251	55	Extension for reply within first month	-
1252	420	2252	210	Extension for reply within second month	-
1253	950	2253	475	Extension for reply within third month	-
1254	1,480	2254	740	Extension for reply within fourth month	-
1255	2,010	2255	1,005	Extension for reply within fifth month	-
1402	330	2402	165	Filing a brief in support of an appeal	-
1403	290	2403	145	Request for oral hearing	-
1814	110	2814	55	Terminal Disclaimer Fee	-
1452	110	2452	55	Petition to revive - unavoidable	-
1453	1,330	2453	665	Petition to revive - unintentional	-
1501	1,330	2501	665	Utility/Reissue issue fee	-
1502	480	2502	240	Design issue fee	-
1460	130	1460	130	Petitions to the Commissioner	-
1807	50	1807	50	Petitions related to provisional applications	-
1806	180	1806	180	Submission of Information Disclosure Statement	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	-
1801	770	2801	385	Request for Continued Examination (RCE)	-

Other fee (specify) \_\_\_\_\_

Subtotal (3) \$180.00

Signature David R. Fairbairn

Reg. No. 26,047

Date 10/6/04

Deposit Account No. 11-0982